

# Tackling Climate Change with Machine Learning Workshop at ICML 2021



A Reinforcement Learning Approach to Home Energy Management  
for Modulating Heat Pumps and Photovoltaic Systems

# Motivation



## Climate Change

### European Union:

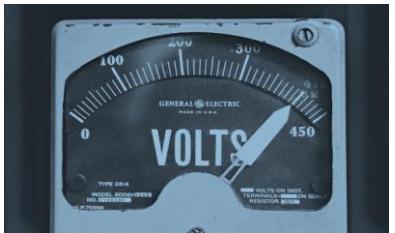
- At least 55% CO<sub>2</sub> reduction by 2030
- Carbon neutrality by 2050



## Renewable Energy Sources

### Germany:

- 19% of final consumption in 2020
- 45% of electricity consumption in 2020



## Demand Response

### Opportunities:

- Frequency/voltage regulation
- Self-consumption of local renewable generation
- Benefits from dynamic prices

## Smart Homes



### Buildings globally:

- 30% of energy consumption
- 28% of CO<sub>2</sub> emissions

### Sector coupling critical:

- Heating: 65%
- Hot water: 14%

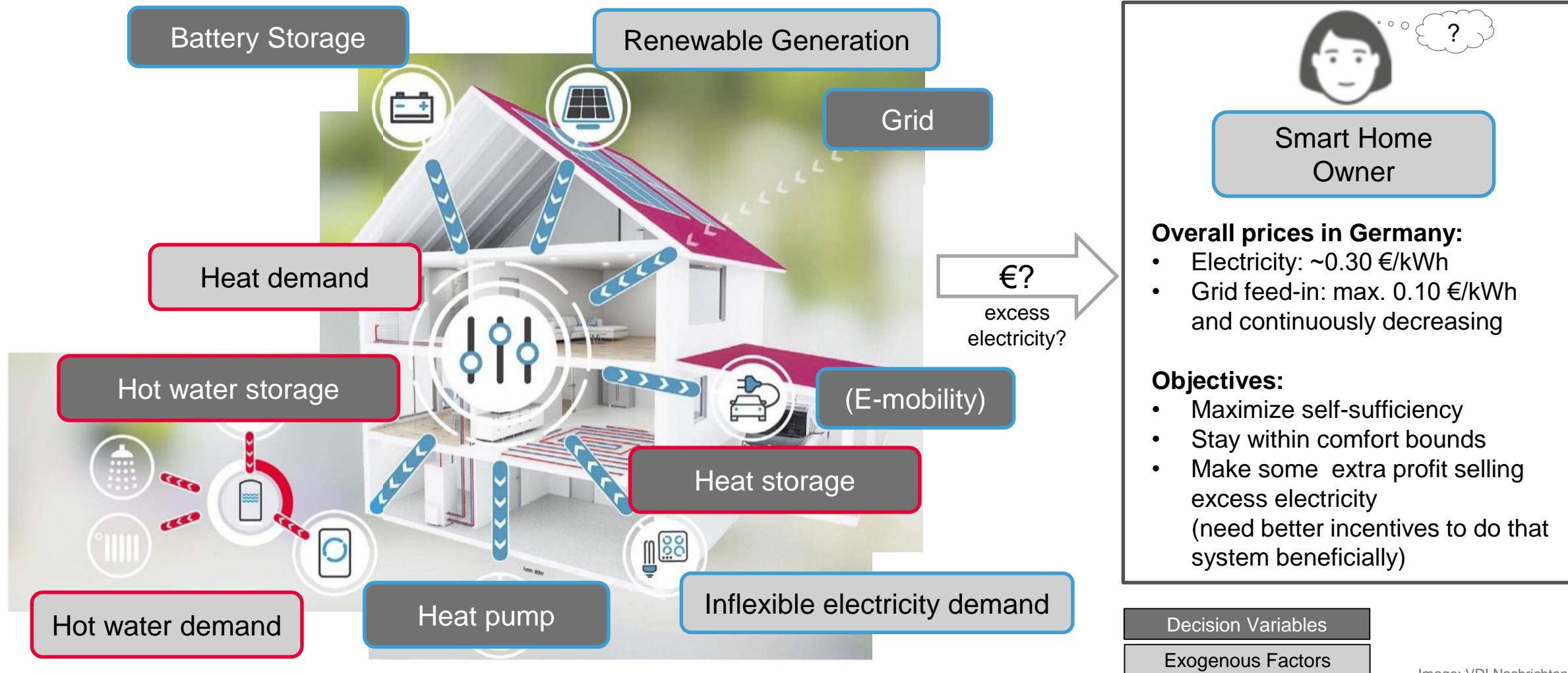
of energy consumption

### Flexibility:

demand and supply adjustments via PV, heat pumps, and storage technologies

Umweltbundesamt (2021), Dengiz et al. (2019), Kazmi et al. (2019) pics: unsplash.com + <https://www.extremetech.com>, eurostat 2016

# Smart Home - Energy Management System (SHEMS)



# Some advertisement for more background...

Applied Energy 278 (2020) 115661

Contents lists available at [ScienceDirect](#)

**Applied Energy**

journal homepage: [www.elsevier.com/locate/apenergy](http://www.elsevier.com/locate/apenergy)



An optimal home energy management system for modulating heat pumps and photovoltaic systems

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Publication: <https://doi.org/10.1016/j.apenergy.2020.115661>  
 Arxiv: <https://arxiv.org/pdf/2009.02349.pdf>  
 Code: <https://github.com/lilanger/SHEMS>



 **energies**



Article

**An Optimal Peer-to-Peer Market Considering Modulating Heat Pumps and Photovoltaic Systems under the German Levy Regime**

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Publication: <https://doi.org/10.3390/en13205348>  
 Code: <https://github.com/lilanger/PEERS>

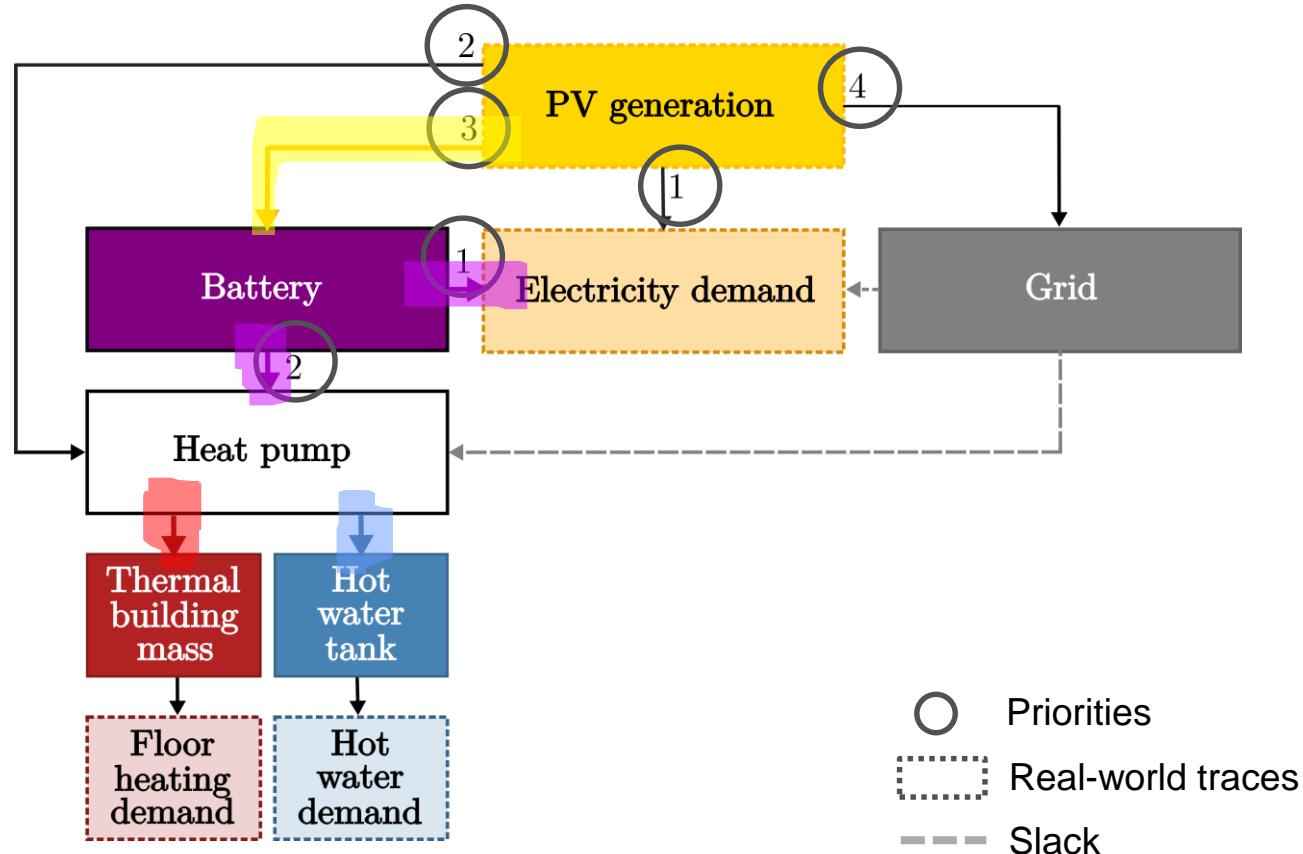
## Initial publication:

- Detailed description of building model and input data
- Model Predictive Control (MPC) model formulation
- Rolling Horizon implementation
- Derivation of demand fulfillment priorities

## Extension:

- Model Predictive Control (MPC) model formulation
- Small peer-to-peer network implementation
- Detailed German levy regime (taxes, surcharges,...) implementation

# Reinforcement Learning implementation



## Uncertainties:

- Exogenous demand and generation implemented via real world traces

exogenous

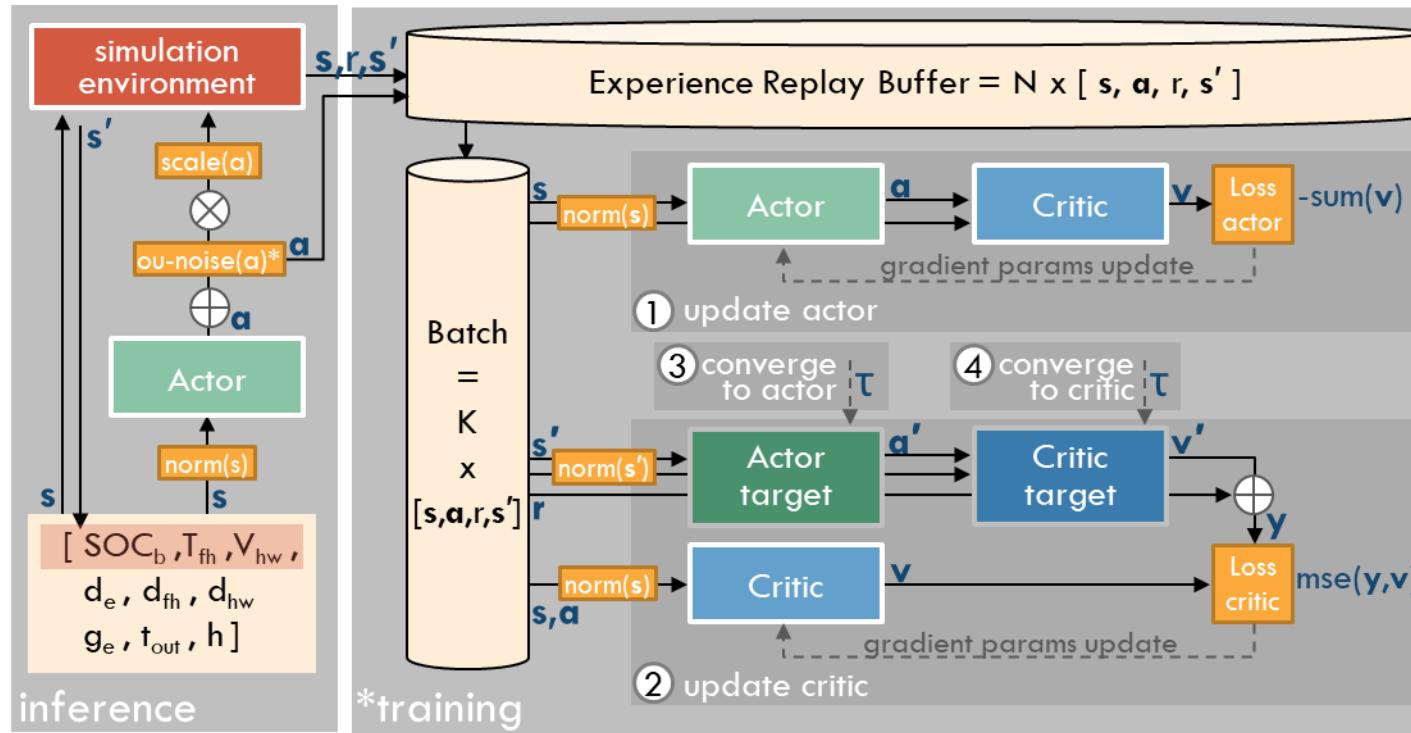
## Setup:

- State space:  $[SOC_b, SOC_{fh}, SOC_{hw}, d_e, d_{fh}, d_{hw}, g_e, t_{out}, h]$
- Fulfillment priorities from MPC results
  - Results in much smaller action space (2 instead of 10 dimensions)
- Slack variables cover mismatches

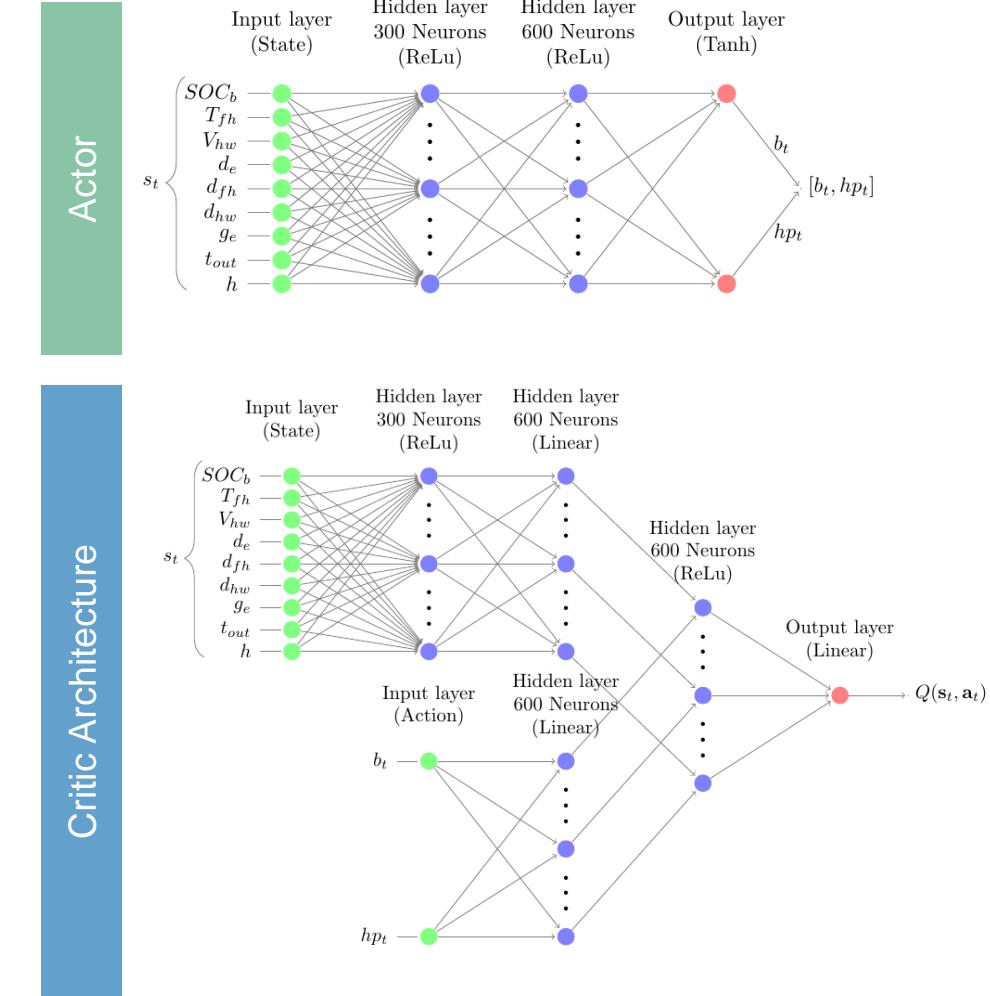
## Actions (continuous):

- Battery: Discharging + Charging =  $[-1, +1]$
- Heat Pump: Hot water + Floor heating =  $[-1, +1]$

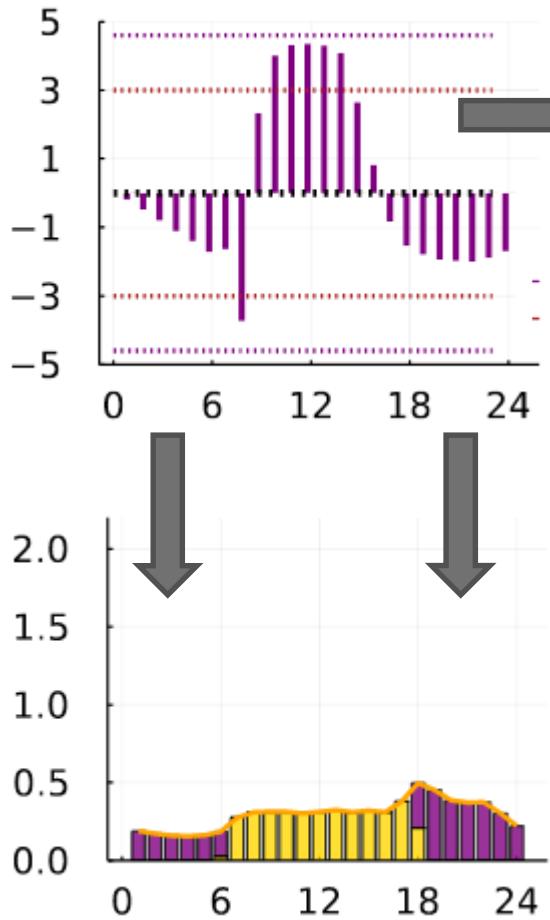
# DDPG SHEMS workflow and networks



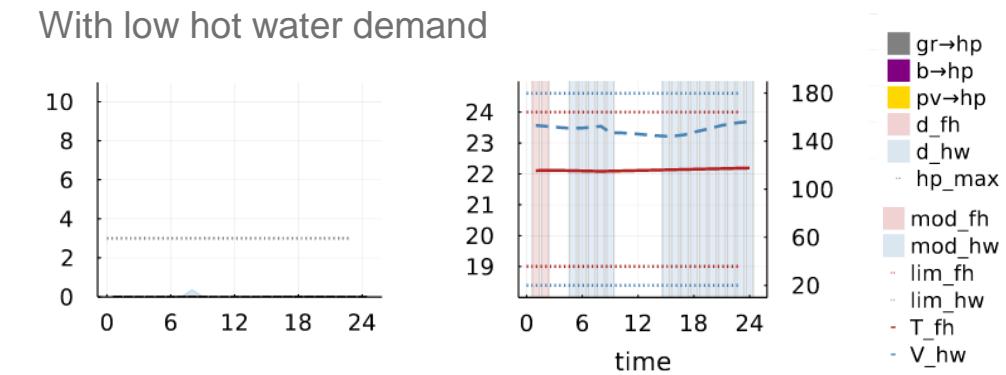
Workflow of the DDPG algorithm applied in SHEMS.



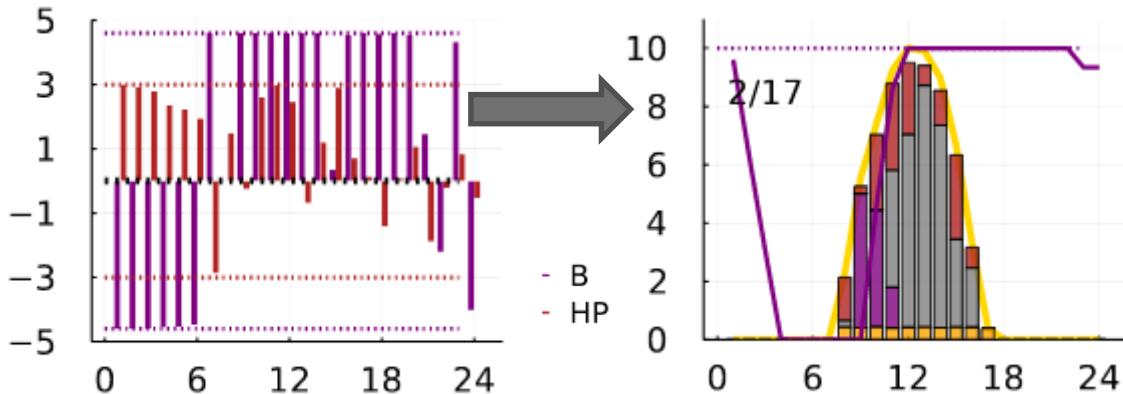
# Some preliminary results...



On a day in summer...



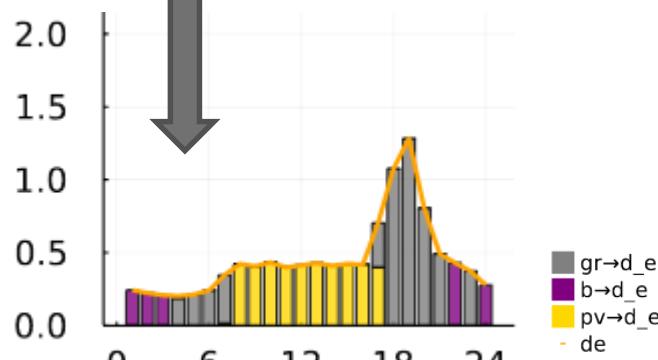
## Some preliminary results...



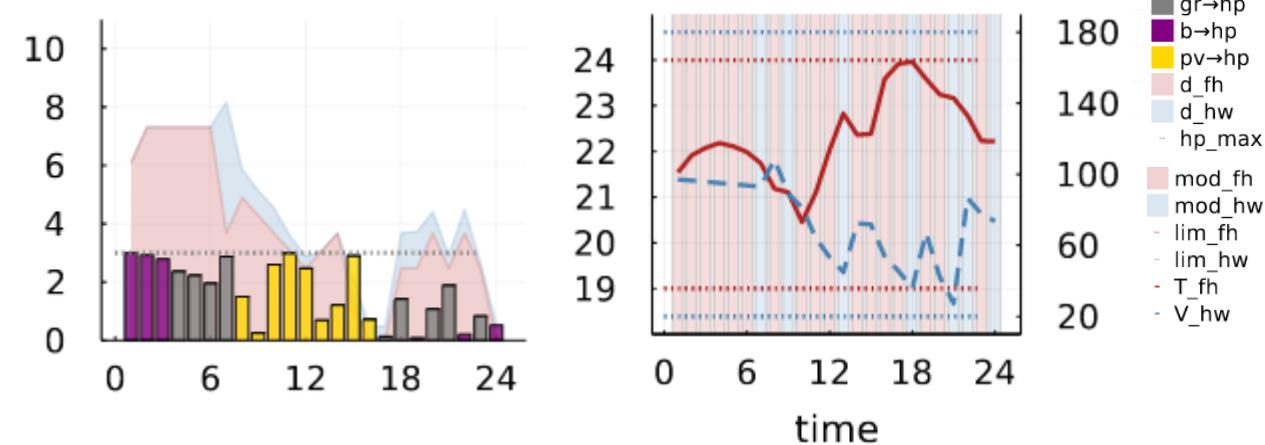
On a day in winter...

2/17

On a day in winter...



Now with higher heating and hot water demand



## Conclusions

- Still some finetuning to be done
- KPIs are not that informative at some point, digging deep into the actions more helpful
- One can easily get lost in hyperparameter tuning
- Tuning the simulation environment is key, expert knowledge and common sense is essential
- Simple rules can be quite tough benchmarks to beat!

	Optimum	Rule – Always charge with 70% SOC	DDPG
Electricity costs	Summer Test	141€	129€
	Winter Test	-102€	<b>-153€</b>
Self-sufficiency	Summer Test	100%	99%
	Winter Test	65%	46%



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