

# Planning for Floods & Droughts: Intro to AI-Driven Hydrological Modeling

Kshitij Tayal<sup>1</sup>, Arvind Renganathan<sup>2</sup>, Siyan Liu<sup>1</sup>, Dan Lu<sup>1</sup>  
<sup>1</sup>Oak Ridge National Laboratory, <sup>2</sup>University of Minnesota



# Environmental Grand Challenges of the 21<sup>st</sup> Century

**IPCC Report warns of 'irreversible' impacts of global warming 2/28/2022**



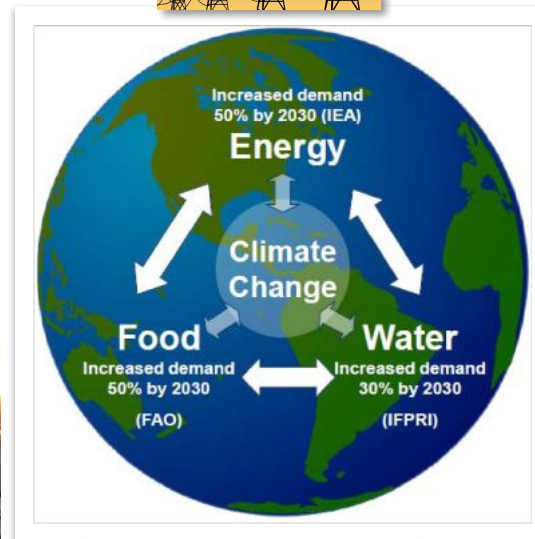
Increasing frequency of natural disasters

**U.S. drought one of the worst in 1,200 years**

Science News APRIL 16, 2020



North American Drought nearly 50 percent more severe



**Extreme flooding to increase as temperatures rise**

The Washington Post September 13, 2021



The Ahr River floats past destroyed houses in Insul, Germany

**Water under stress**

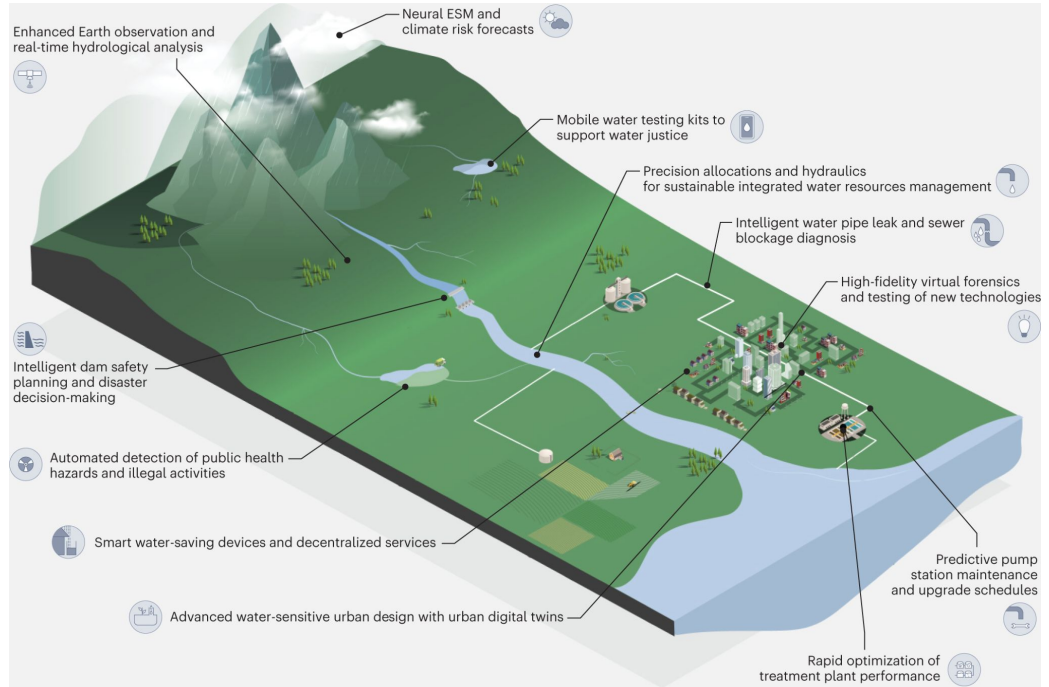


Aral Sea in 1989

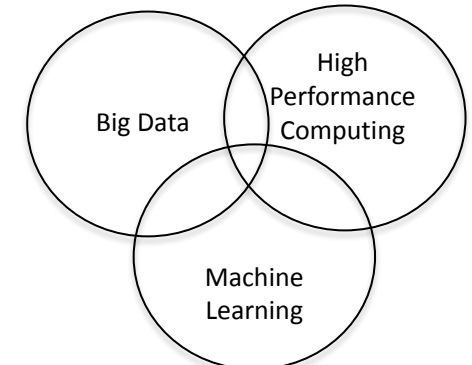
Aral Sea in 2014

# Harnessing the Data Revolution for Scientific Discovery

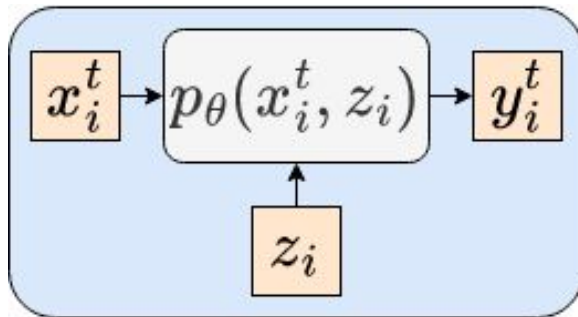
- Advances in ML and high-performance computing fed by big data have revolutionized all aspects of our lives.
- Big data and ML are increasingly being considered as an alternative to the traditional scientific discovery paradigm.



methods Convolutional  
Learning Networks  
Deep Learning Intelligence  
Machine Learning  
Artificial Intelligence  
Artificial Data



# Abstract Representation of a Physical System

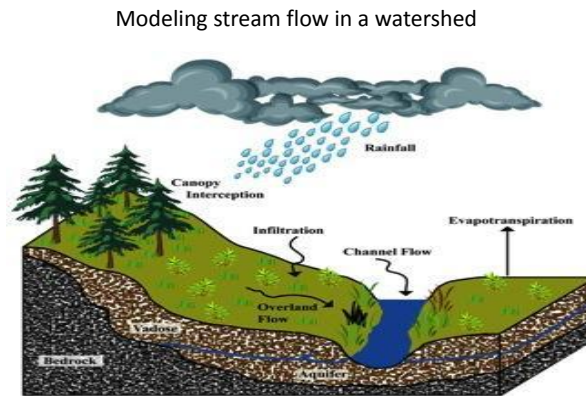


$x^t$  : dynamic inputs at time t

$z$  : set of static characteristics  
(latent parameters of the  
system)

$y^t$  : response at time t

$x^t$  and  $y^t$  can have spatial  
dimensions



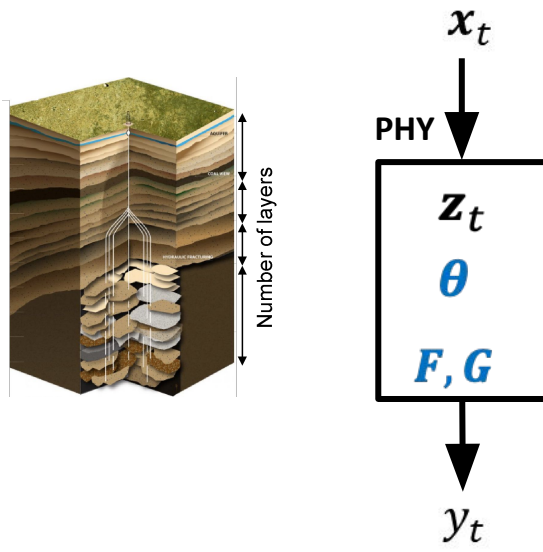
**SWAT**: physics based model used by hydrological  
community

## Problem Formulation:

- Given input driver  $x^t$  and system characteristics  $z$  learn to predict response  $y^t$



# Limitations of Process-based Models



Modeling stream flow in a watershed



**SWAT**: physics based model  
used by hydrological community

- **Incomplete or missing physics (F,G)**

- Physics-based models often use approximate forms to meet “scale-accuracy” trade-off

- Results in *inherent model bias*

- **Unknown parameters ( $\theta$ ) need to be “calibrated”**

- *Computationally Expensive*

- *Easy to overfit*: large number of parameter choices, small number of samples

- **Inefficient use of observations**

- Calibration of a Process-based models on a highly observed entity *does not help improve performance* on less observed or un-observed entities

- **ML has the potential to**

- provide high predictive power with **sparse observation**

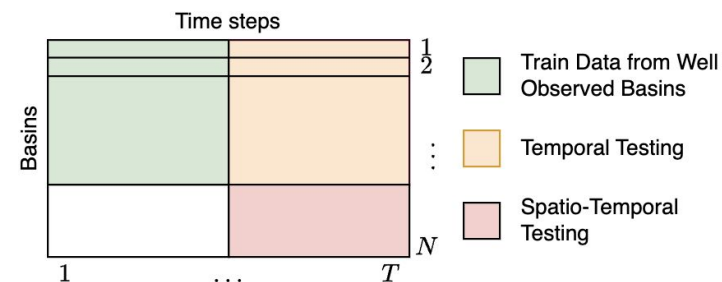
- generalize to **unseen scenarios**

- produce **physically consistent** results

- leverage information from highly observed entities to provide high quality prediction in **unobserved** and **sparsely observed** entities

# BUILDING ML MODELS: LOCAL MODELS

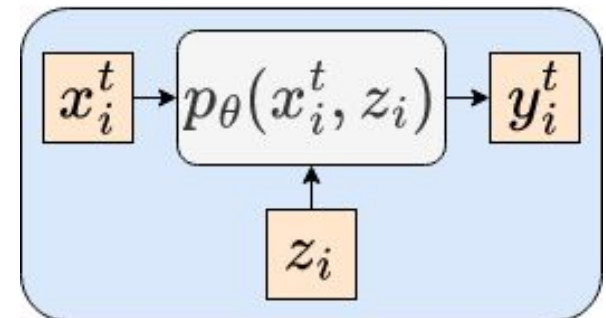
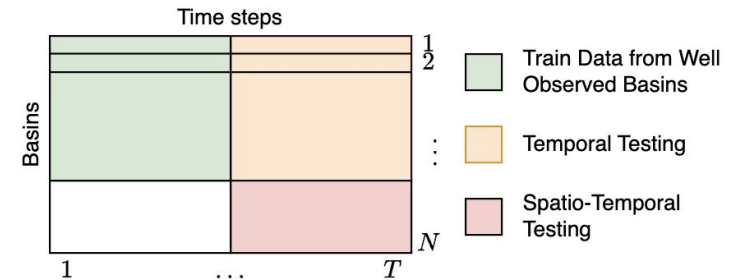
- **Temporal Testing:** The training and testing data are from the same entities but time period of training and testing are different.
- Build **Local model** for each entity (rows in the top right image)
  - Needs lot of labelled data for each entity



Global ML model with task characteristics outperformed individually calibrated physical models

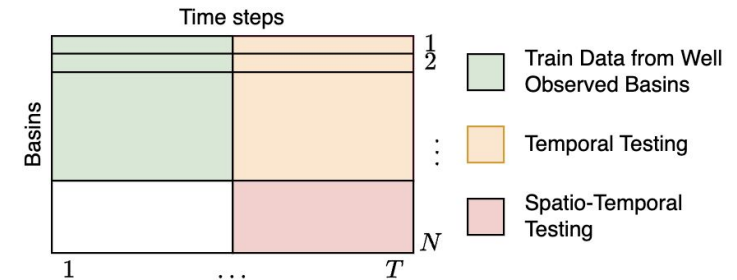
# BUILDING ML MODELS: GLOBAL MODELS

- Build a **global model** using all of the entities together
  - ML can leverage data from diverse cross section of basins
  - Static characteristics (z) introduce heterogeneity in driver- response relationship.
  - Trivial merging would lead to sub-optimal personalized predictions (**Global Model Without static**)

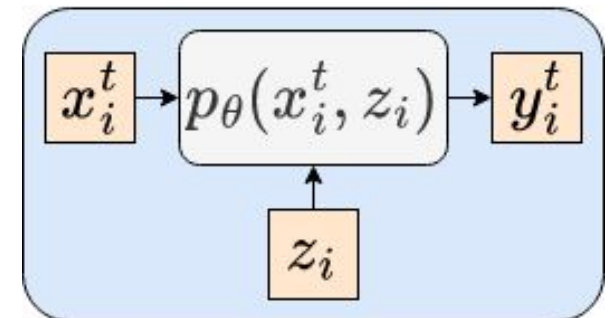


# GLOBAL MODEL WITH STATIC CHARACTERISTICS

- Build a **global model** using all of the entities together
  - ML can leverage data from diverse cross section of basins
  - Static characteristics ( $z$ ) introduce heterogeneity in driver- response relationship.
  - Incorporate static characteristics during training (**Global Model with static characteristics**)
  - CT-LSTM is on way of Incorporating static characteristics



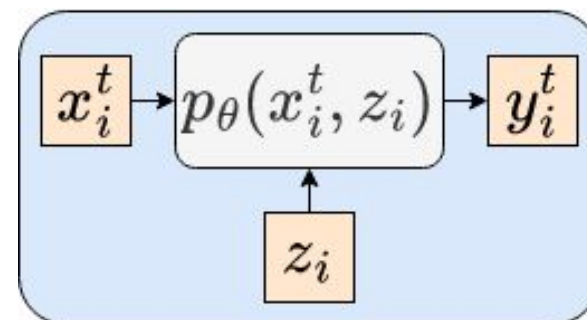
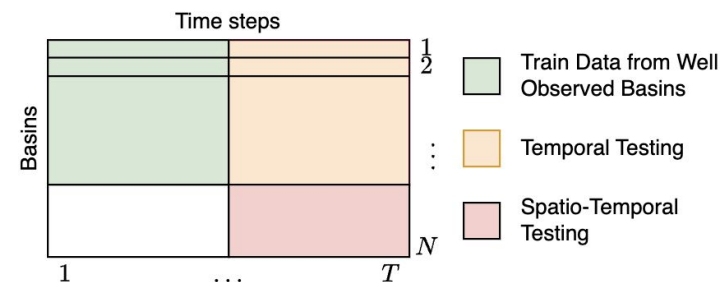
Global ML model with task characteristics outperformed individually calibrated physical models





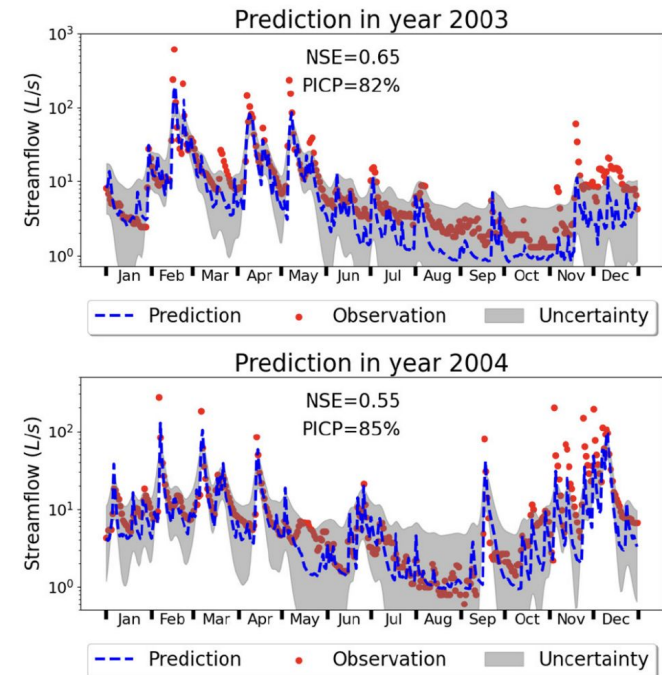
# GLOBAL MODEL WITH STATIC CHARACTERISTICS

- **Spatio-Temporal Testing:** The training and testing data are from different entities and different time period.
- **No observation is available [Ungauged Prediction]**
  - Build a global model using basin characteristics and training data from well observed basins
  - Use static characteristics to transfer knowledge from a learned global model to a new basin in **zero-shot** fashion



# UNCERTAINTY ANALYSIS

- **Importance:**
  - Improving the reliability and robustness of models
- **Sources of uncertainty in hydrology**
  - Input data uncertainty (e.g., precipitation, temperature, land use)
  - Parameter uncertainty (e.g., soil properties, vegetation characteristics)
  - Model structure uncertainty (e.g., simplifications, assumptions)
  - Natural variability and climate change
- **Uncertainty quantification methods**
  - Prediction Interval methods [shown in notebook]
  - Monte Carlo dropout
  - Bayesian inference
  - Generalized likelihood uncertainty estimation (GLUE)
  - Markov Chain Monte Carlo (MCMC) methods



Liu, Siyan, et al. "Uncertainty quantification of machine learning models to improve streamflow prediction under changing climate and environmental conditions." *Frontiers in Water* 5 (2023)

# Concluding Remarks

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- Big data and machine learning offers great opportunity to increase our understanding of the Earth's climate and environment.
- In the presentation, we provide a high-level picture of the topic. For a more detailed understanding, there is a more comprehensive tutorial available at [google colab](#), which covers the basics. Do check it out for a deeper dive into the subject matter.
- Methods discussed above have wide applicability across diverse domains:
  - Agriculture: Optimizing irrigation systems and managing soil moisture
  - Urban planning: Designing effective drainage and stormwater management infrastructure
  - Climate science: Modeling the water cycle and its interactions with the atmosphere and land surface
  - Energy: Assessing water resources for hydroelectric power generation
  - Disaster management: Predicting and mitigating floods, droughts, and other water-related hazards