

# SOLARNET: A DEEP LEARNING FRAMEWORK TO MAP SOLAR PLANTS IN CHINA FROM SATELLITE IMAGERY

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## ABSTRACT

Renewable energy such as solar power is critical to fight the ever more serious climate, how to effectively detect renewable energy has become an important issue for governments. In this paper, we proposed a deep learning framework named SolarNet which is designed to perform semantic segmentation on large scale satellite imagery data to detect solar farms. SolarNet has successfully mapped 439 solar farms in China, covering near 2000 square kilometers, equivalent to the size of whole Shenzhen city or two and a half of New York city. To the best of our knowledge, it is the first time that we used deep learning to reveal the locations and sizes of solar farms in China, which could provide insights for solar power companies, climate finance and markets.

## 1 INTRODUCTION

While climate change has become one of greatest threats to our world, renewable energy such as solar power is critical to fight climate change (Chu & Majumdar, 2012; Agnew & Dargusch, 2015). China, as the world’s leading installer of solar photovoltaics (PV), is the world’s largest producer of solar PV power and massive solar farms were built not only to produce clean energy but also to reduce poverty.

However, one question remains to be answered: where are those solar farms located? Mapping the location of solar farms and tracking its installation progress is particularly important for the following aspects: first, it allows the government to gauge the development of solar power industry and make strategies; second, it helps the solar power company to quantify and optimize the efficiency of solar panels; third, it is useful for investors to evaluate the operation of solar power companies. Obviously, it is impractical to locate solar farms with maps manually. Most recently, more and more companies have launched satellites into space, produced massive satellite imagery data and therefore accelerated its commercialization in various fields.

In this paper, we proposed a deep learning framework named **SolarNet**, which is used to analyze large-scale high-resolution satellite imagery data and is able to accurately identify hundreds visible large solar farms in China while many of those are built in deserts, mountains and even lakes. To the best of our knowledge, it is the first time that the locations and sizes of solar farms in China are tracked by mining satellite imagery data through deep learning algorithms.

## 2 RELATED WORKS

In this section, we give a brief review of related works, including semantic segmentation and solar panel detection.

**Semantic Segmentation:** In order to evaluate the use of solar energy, we need to use segmentation algorithms to evaluate the construction of solar power stations. If we can segment the area of the solar power board, we can estimate its power generation. Semantic segmentation (Long et al., 2015) is an important computer vision technique that has been widely applied to detect objects from

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remote sensing imagery data, such as urban architectural segmentation(Wei et al., 2004; Bischke et al., 2019), road extraction(Mokhtarzade & Zoej, 2007), crop segmentation(Rydberg & Borgefors, 2001), etc. Deep learning has achieved great success in semantic segmentation task(Long et al., 2015).

However, compared with natural images, segmentation on satellite imagery data is much more challenging due to: 1) the resolution of different satellite may vary, 2) the size of satellite is huge which may lead to huge computational cost, 3) the background, cloud, reflection of sunshine etc. could also complicate the segmentation tasks, 4)the texture of solar panels may also vary due to various sensor specs.

**Solar Panel Detection:** Most recently, Yu et al.(Yu et al., 2018) proposed a framework called Deep-Solar which successfully located the civil solar panels in the United States and developed a public data set. Their data set mainly focused on household solar power planes in the US, by contrast, most of the large solar power plants in China were built in the fields with complex background such as deserts, mountains and even lakes as shown in Figure 1, which pose more challenges to the detection task. In order to fully evaluate the proposed segmentation method, we also particularly created a satellite imagery data set of the solar plants in China to train our model.

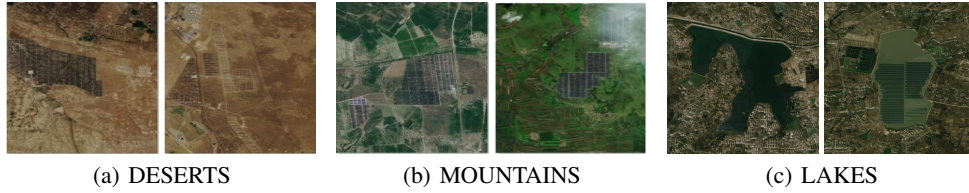


Figure 1: Part of solar farms in China. (a). solar power plants in the deserts, (b). solar power plants in the mountains, (c). solar power plants in the lakes. All these images contain complex backgrounds.

### 3 METHOD

SolarNet is a semantic segmentation method based on the combination of Expectation-Maximization Attention Unit(EMAU) and multitask optimization. In order to compare the performance, we used UNet as a baseline algorithm, which is one of most popular deep learning based semantic segmentation methods.

#### 3.1 UNET

UNet is composed of multiple layers convolution and deconvolution. This architecture can produce a prediction for each pixel, while retaining the spatial information in the original input image. The UNet was first proposed by (Ronneberger et al., 2015), is used as a baseline model and the net architecture is illustrated in Figure 2.

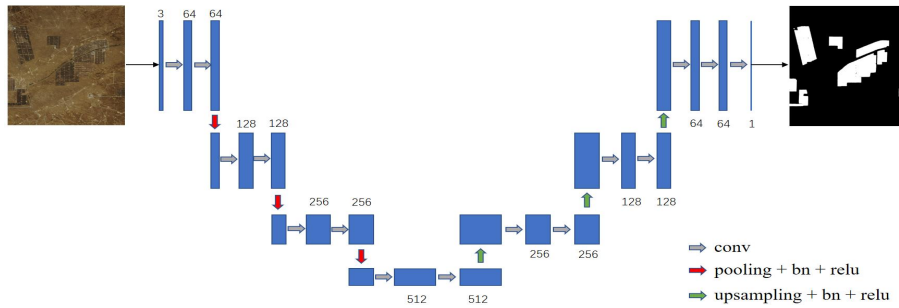


Figure 2: UNet Architecture.

### 3.2 SOLARNET: A MULTITASK EXPECTATION-MAXIMIZATION ATTENTION NETWORKS

**EMAU:** One shortcoming of UNet segmentation structure is that its multiple local convolution operations is not able to capture sufficient global information, and thus harms the performance in discontinuous object segmentation. Inspired by Xia’s work(Li et al., 2019), they poposed the Expectation-Maximization Attention Unit(EMAU) module, which replaced the local convolution operation with the global unsupervised clustering EM algorithm in the feature extraction process, thus could effectively captures the global information. In our case, the solar power plants usually scatter in various discontinuous areas as shown in Figure 3, and EMAU moudle is able to deal with such case.

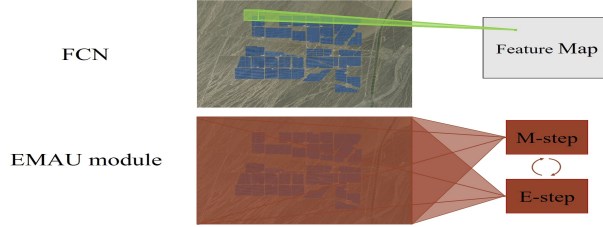


Figure 3: When performing convolution operation, each convolution operator only extracts the local spatial features. The EMAU module performs clustering operation of element wise, and could capture more the global information in space.

**Multitask Optimization:** Besides, we proposed an optimized multitask-EMANet, which combines local pixel-level segmentation and global image-level classification. Many existing studies(Zhou et al., 2016; Le et al., 2019) show that the feature map of classification network usually corresponds the area of the object to be segmented, which could improve the segmentation performance.

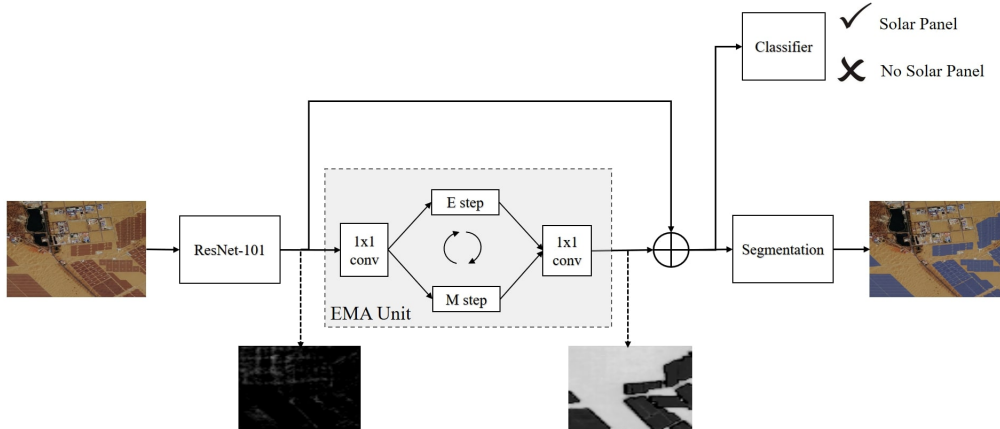


Figure 4: SolarNet Architecture: in addition to the EMA operator, two  $1 \times 1$  convolutions at the beginning and the end of EMA, sum the output with original input, to form a residual-like block.

The proposed SolarNet complete architecture is shown in Figure 4, it used pretrained ResNet-101 as backbone(He et al., 2016) and the EMAU module to extract features. After re-configuring the features of EMAU module, the feature of ResNet-101 were then summed together and the last summed one was used to the last segmentation task. SolarNet adopted the classification network to further enhance the segmentation results. Meanwhile, the classification network shares the same weight with segmentation network, and the final layer is a fully connected layer which is used to classify whether contains the solar planes or not. More detailed structure and training process have been described in appendix section.

## 4 RESULTS AND CONCLUSION

**Results:** We used the trained SolarNet framework to map all the solar farms in China by mining large scale satellite imagery data that covered the whole China. We successfully detected about 500 solar farms covering the area of 2000 square kilometers or 770 square miles in total, equivalent to the size of whole Shenzhen city or two and a half of New York city. Figure 5 visualized the locations of all detected solar farms in China marked by blue dots. One can see that most of the solar farms were built in the northwestern part of China where the sunlight is abundant and thus is ideal for solar power. Among all the provinces in China, Qinghai has installed the most solar farms with the area of near 400 square kilometers in total as shown in Figure 8. One can also visit our website [ms.webank.com/moonshot-demo/solarnet-beta.html](http://ms.webank.com/moonshot-demo/solarnet-beta.html) to interact with the results.

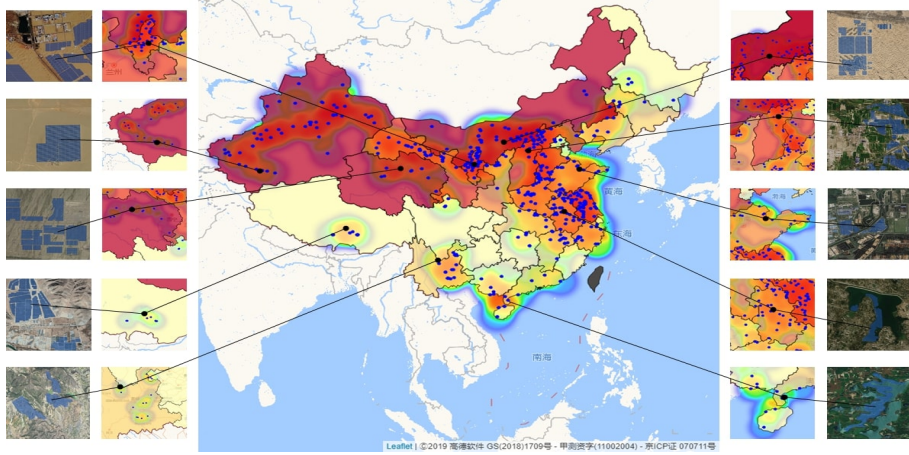


Figure 5: Solar farm map in China. Each blue dot indicates a detected solar farm from satellite imagery. We colored each province according to the area of solar farms (darker color indicates larger areas). A heat map of solar farm density was also overlaid. Eight representative solar farms built on deserts, mountains, lakes or the fields were also displayed.

We then used mean Intersection over Union (mIoU) as the criteria to evaluate segmentation performance and compared the SolarNet with two other methods in three kinds of dataset. Our dataset include 938 images, deepsolar dataset(Yu et al., 2018) include 1555 images. The results in Table 1 shows that the SolarNet outperformed two others.

Model	mIOU		
	our dataset	deepsolar dataset	our+deepsolar dataset
Resnet101-Unet	84.65%	84.22%	86.54%
Resnet101-EMANet-single	94.00%	<b>90.98%</b>	93.79%
SolarNet-Multitask-1.0	<b>94.21%</b>	90.39%	<b>93.94%</b>

Table 1: With the multi-task embedding, SolarNet could beat the original EMANet and UNET on our dataset evaluation.

**Conclusion:** Mapping and tracking the installment of solar panel from satellite imagery data is potentially helpful for the following fields: 1) it could help the solar PV power company to optimize the location and direction of solar panels so that to maximize the production of such renewable energy; 2) it could help the investors and market researchers to track the latest trends of solar PV power; 3) government could use our result to evaluate the efficiency of regarding policies, for example, how the subsidiary policy is impacting the development of solar power industry. Therefore, we plan to build a Solar Power Index in China by analyzing longer historical satellite imagery data with SolarNet so that we could track long term trends. And we also plan to apply the proposed framework to map the location and develop the index of other type of renewable energy such wind turbine.

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## 5 APPENDIX

**UNET architecture:** The network architecture is described in detail in Table 2. It has two parts: a contracting path and an expansive path. The contracting path follows the typical architecture of a convolutional network. we uses two repeated convolutions with  $3 \times 3$  kernel size, while each is followed by a batch normalization layer and a rectified linear unit, then a  $2 \times 2$  max pooling operation with stride 2 for downsampling. At each downsampling step we made the number of feature channels becomes to double times. In the expansive process every step consists of upsampling feature map followed by a  $2 \times 2$  convolution that halves the number of feature channels, a concatenation with the correspondingly cropped feature map from the contracting path, and two  $3 \times 3$  convolutions, each followed by a BN layer and a ReLU layer. In the final layer, a  $1 \times 1$  convolution is used to map each 2-component feature vector to the desired number of classes whether this pixel is solar panel or not. The network has 17 convolutional layers in total.

INPUT			
3x3 conv 64 dim→	3x3 conv 64 dim→	pooling →	BN & RELU
3x3 conv 128 dim→	3x3 conv 128 dim→	pooling →	BN & RELU
3x3 conv 256 dim→	3x3 conv 256 dim→	pooling →	BN & RELU
3x3 conv 512 dim→	3x3 conv 512 dim→	pooling →	BN & RELU
3x3 conv 512 dim→	3x3 conv 512 dim→	upsampling →	BN & RELU
3x3 conv 256 dim→	3x3 conv 256 dim→	upsampling →	BN & RELU
3x3 conv 128 dim→	3x3 conv 128 dim→	upsampling →	BN & RELU
3x3 conv 64 dim→	3x3 conv 64 dim→	upsampling →	BN & RELU
1x1 conv 2 dim		→	SoftMax

Table 2: UNet architecture detail

**EMA module:** Attention mechanism have been widely used for various tasks. The proposed Expectation-Maximization Attention (EMA) module (Li et al., 2019) is robust with regard to the variance of input and is also efficient in terms of memory and computational power (Wang et al., 2018). For a simple introduction, we consider an input feature map  $X$  of size  $C \times H \times W$  from a single image.  $X$  was the intermediate activated feature map of a CNN. We reshaped  $X$  into  $N \times C$ , where  $N = H \times W$ . Briefly, given the input  $X \in \mathbb{R}^{N \times C}$ , the initial bases  $\mu \in \mathbb{R}^{K \times C}$  and  $Z \in \mathbb{R}^{N \times N}$  are the latent variables. The E-step is used to estimate the latent variables  $Z$ , and then used the M-step updated bases  $\mu$ . After  $T$  times iteration, we reconstruct the  $\hat{X}$  since  $K \ll N$ ,  $\hat{X}$  lies in a subspace of  $X$ . This method removes much unnecessary noise and makes the final classification of each pixel more segmentable. Moreover, this operation reduces the complexity from  $O(N^2)$  to  $O(NK)$  in the pixel segmentation process.

E-step:

$$z_{nk} = \frac{\kappa(x_n, \mu_k)}{\sum_{j=1}^K \kappa(x_n, \mu_j)} \quad (1)$$

where  $\kappa$  represents the general kernel function, we simply take the exponential inner dot  $\exp(a^T, b)$  in our implementation.

M-step:

$$\mu_k^t = \frac{z_{nk}^t X_n}{\sum_{m=1}^N z_{mk}^t} \quad (2)$$

**Multitask Optimization:** we used this formulation to get the overall loss:

$$Loss_{total} = \lambda \cdot Loss_{cls} + (1 - \lambda) \cdot Loss_{seg}$$

**Implementation of training details:** When training the model, we also adopted adam gradient descent method (Burgess et al., 2005; Dozat, 2016). In order to fully incorporate the EMAU’s into deep neural networks, we here describe how to train EMAU in each iteration. As each image  $X$  has different pixel feature distributions compared to others, using the  $\mu$  to reconstruct feature maps of a new image is not suitable. So we need to run EMAU module on each image simultaneously. For the first mini-batch, the Kaiming’s initialization (He et al., 2015) has been used to initialize  $\mu_0$ , where the matrix multiplication can be treated as a  $1 \times 1$  convolution. For the following batches, we can simply use back propagation to update  $\mu_0$  by standard. However, since iterations of E-step and M-step can be expanded as a recurrent neural network (RNN) (Mikolov et al., 2010), the gradients propagating through them will generate the vanishing or explosion problem. Therefore, the updating of  $\mu_0$  is unstable, moving averaging (Dandawate & Giannakis, 1995) has been used to update  $\mu_0$  in the training process. After several iterations over an image, the generated  $\mu_T$  can be considered as a biased update of  $\mu_0$ , where the bias comes from the image sampling process:  $\mu_0 = \alpha\mu_0 + (1 - \alpha)\mu_T$

2058 images were used to train the model while 435 images were used to test the model. The size of all the images ranges from  $512 \times 512$  to  $10000 \times 10000$ . In order to create more data to train the model, we adopted the following data augmentation methods:

- **Crop:** Chooed a random ROI area from a original image:  $X_{arg} = ROI(X)$ .
- **Scale:** Chooed a random scale size  $s \in (0.8, 1.2)$ , rescaled the original image:  $X_{arg} = Rescale(X, s)$
- **Rotation:** Chooed a random angle  $\theta \in (-180, 180)$ , rotated the original image:  $X_{arg} = Rotate(X, \theta)$
- **Reflection:** Flipped the original image horizontally:  $X_{arg} = FlipH(X)$ , or flipped the original image vertically:  $X_{arg} = FlipV(X)$

Parameter	Learning Rate	Iteration	Training Set	Testing Set
Value	$1e^{-3}$	20000	819	119
Parameter	EM Iteration		EM Latent Variables Size	
Value	10		1024	

Table 3: Parameters of SolarNet to train the model.

The pseudo code of the training process of SolarNet is shown in Algorithm 1. It is important to note that in each iteration a semi-supervised clustering process of T-round EMAU module is required. And in the test process, each image was performed a clustering process with T-round iteration.

**Experimental details:**



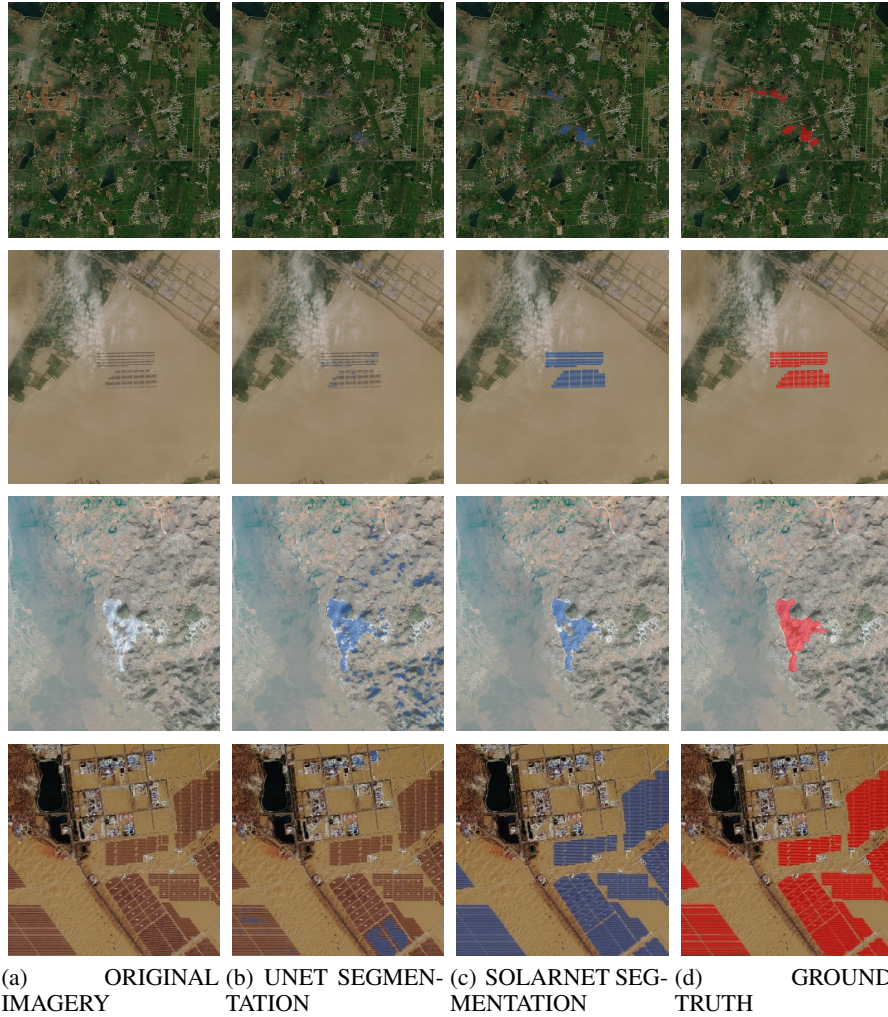


Figure 6: Solar farms located by SolarNet. The first column is the original satellite imagery data. The blue area indicates the detected solar farms by UNet (second column) and EMANet (third column) and red area in the fourth column indicates the ground-truth labeled manually. One can see how SolarNet was able to accurately detect solar farms under very complicated backgrounds.

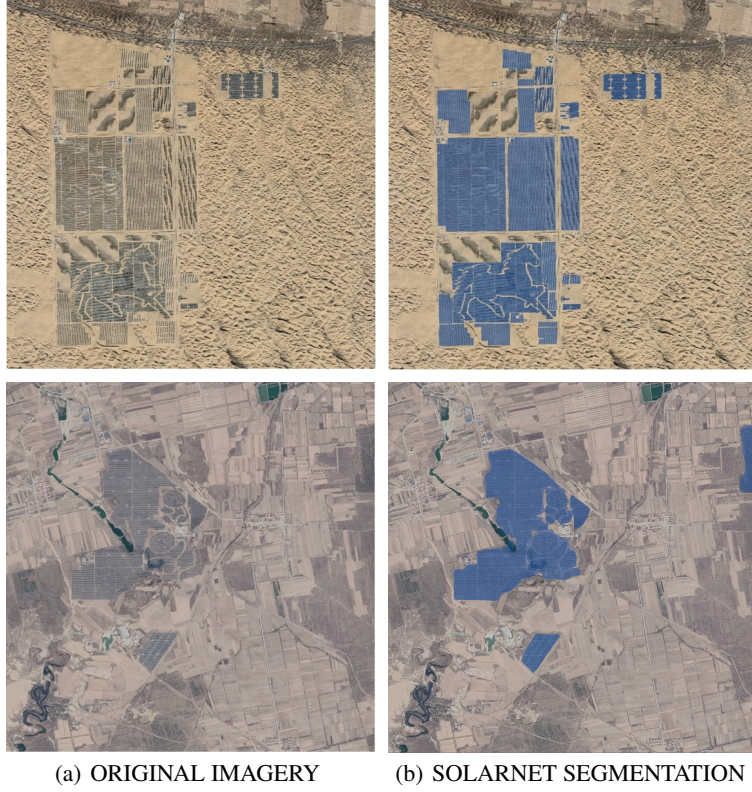


Figure 7: Two massive animal-shaped (horse and panda) solar farms detected by SolarNet.

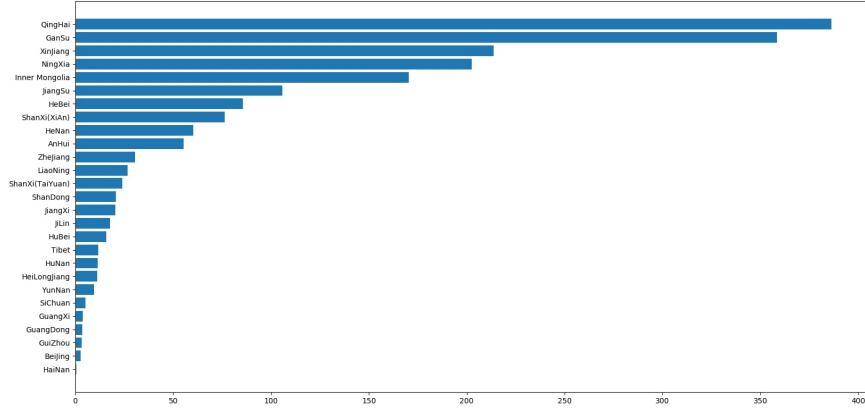


Figure 8: The area of detected solar farms in various provinces in China (unit:  $km^2$ )

SolarNet may fail to detect the solar farms when the it resembles its surrounding background as shown in Figure 9. In the future, we plan to improve our methods in the following way:

- 1) Labeling more solar panels from the satellite imagery data in various circumstances, such as the solar panels on the roof in residential areas.
- 2) Adapting SolarNet to handle the satellite imagery data with various resolutions Benz et al. (2004). For example, HRNet proposed by Sun et al. (2019) is an effective super-resolution method to deal with various resolution images.

- 3) Using hyperspectral imagery data to enhance the segmentation performance. As showed in Alexakis et al. (2009); Arellano et al. (2015) could provide more information when detecting objects from satellite.

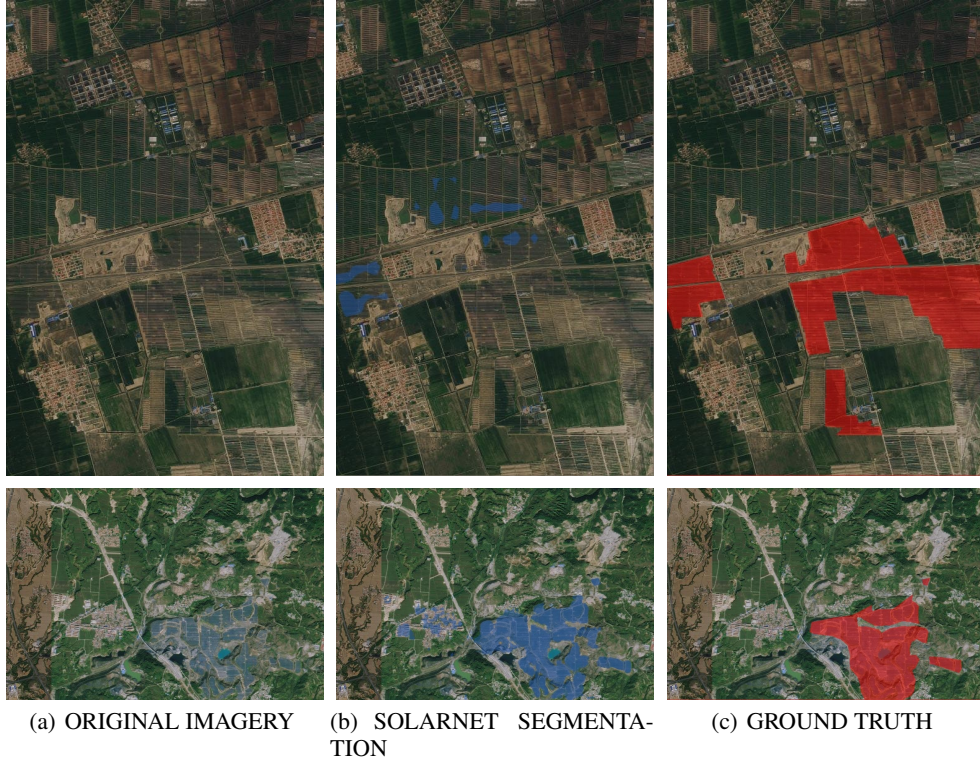


Figure 9: SolarNet may fail to detect the solar farm when it resembles its surrounding environment.

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**Algorithm 1** SolarNet Training Procedure

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**Initial:** Random Initial network's weights:  $W_0$ **Input:** Original Satellite Imagery:  $X$ Semantic Segmentation Imagery:  $X_s$ Whether it contains solar panels:  $Y$ **Procedure:**

```

1: function ESTEP( $\mu, X$ )
2:   return  $z_{nk} = \frac{\kappa(x_n, \mu_k)}{\sum_{j=1}^K \kappa(x_n, \mu_j)}$ 
3: end function
4: function MSTEP( $Z, X$ )
5:   return  $\mu_k^t = \frac{z_{nk}^t X_n}{\sum_{m=1}^N z_{mk}^t}$ 
6: end function
7: for  $i = 0 \rightarrow \text{MaxIter}$  do
8:    $X_{res} = \text{ResNet}(X)$ 
9:    $\text{Logit} = \text{Cls}(X_{res})$ 
10:   $L_{cls} = \text{CrossEntropy}(\text{Logit}, Y)$ 
11:  Random initial  $\mu_0$ 
12:   $Z_0 = \text{ESTEP}(\mu_0, X_{res})$ 
13:  for  $t = 0 \rightarrow T$  do
14:     $u_t = \text{MSTEP}(Z_t, X_{res})$ 
15:     $Z_{t+1} = \text{ESTEP}(\mu_t, X_{res})$ 
16:  end for
17:   $\tilde{X} = Z_t \cdot \mu_t$ 
18:   $L_{seg} = \text{CrossEntropy}(\tilde{X}, X_s)$ 
19:   $L_{total} = \lambda \cdot L_{cls} + (1 - \lambda) \cdot L_{seg}$ 
20:   $W_{i+1} = W_i + \frac{\partial L_{total}}{\partial W_i}$ 
21: end for

```

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